



STOCKBROKERS ZAMBIA LIMITED

Member of the Lusaka Stock Exchange  
Regulated and Licensed by SEC Zambia

# STOCKBROKERS ZAMBIA LIMITED

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## SBZ Retail Account Opening Requirements

- Individuals should submit the following documentation:
  1. Completed SBZ Account Opening Form: [SBZ Retail Account Opening Form](#)
  2. Proof of Residency in the form of one of the following documents in copy:
    - a. Bank statement, inclusive of the official bank stamp
    - b. Certified Utility bill (Water, Electricity or Landline)
    - c. Certified Lease agreement
    - d. Certified Title Deeds
- The above documentation should be inclusive of the applicant's name and physical address, and should not be more than three months old with the exception of options c and d. However, if the above documentation, under options b to d, is not in the applicant's name but a reflection of the applicant's residential address, then a certified Affidavit form or affirmative letter from the applicant's bank, lawyer or employer should confirm the applicant's physical address as appears on the proof of residence documentation. All bank statements must include the applicant's physical address. Otherwise, a supporting letter from the applicant's bank should be provided in conjunction with the applicant's bank statement confirming the applicant's residential address.
  3. Proof of Identity in the form of one of the following documents in copy:
    - a. Certified National Registration Card
    - b. Certified Driver's license
    - c. Certified Passport
    - d. Certified Voter's card
- Applicants intending to open a Joint Account are subject to the requirements as applicable to an individual. However, all documentation submitted should comprise of both applicants' documents and their SBZ Account Opening Form should be completed separately. This applies to Joint Administrators as well.
- For persons regarded as juniors, i.e. not in possession of a National Registration Card, their SBZ Account will be opened in the name of their legal Guardian "in trust of" their name, and will require the documentation of their Guardian as applicable to an individual. Upon the attainment of their N.R.C, their SBZ Account will be modified accordingly once the documentation submitted is in accordance with the requirements applicable to an individual
- Administrators intending to open their SBZ Account with the intention to either sell or transfer the deceased shares, should follow the requirements applicable to an individual. However, must include the Certified Order of Appointment and Certified Death Certificate of the Deceased as well as the Deceased's Certified N.R.C copy.
- All requested Documentation submitted (as requested within) should be Certified by a Commissioner of Oaths, or your Reverend.
- Kindly note that the minimum investment is K500 per purchase. Broker commission is charged at 1.50% per transaction.
- All inquiries should be directed to [info@sbz.com.zm](mailto:info@sbz.com.zm)

